FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV 11-98) 2554-7 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US98/17232 20 August 1998 22 August 1997 TITLE OF INVENTION **NOVEL AMIDE DERIVATIVES** APPLICANT(S) FOR DO/EO/US FUNAMIZU et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. \boxtimes This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 冈 A proper Demand for International Preliminary Examination was made by the 19th month 4. from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is transmitted herewith (required only if not transmitted by the International Bureau). a. b. \boxtimes has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are transmitted herewith (required only if not transmitted by the International Bureau). a. b. have been transmitted by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)). 8. 9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. To 16. Below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. П An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. \boxtimes A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. \boxtimes Other items or information. 16. PTO-1449 and International Search Report

| U.S. APPLICATION NO. / KIGWITS 6937(C.FR. 1.5) INTERNATIONAL APPLICATION NO. PCT/US98/17232 | | | | | | ATTORNEY'S DOCKET NUMBER 2554-7 | | | | |
|---|---------------------------------------|-------------------------|--|------------------------------|--------------------|---------------------------------|---------------|--|--------------|---|
| 17. The following fees are submitted: | | | F01/0398/17232 | | | CA | LCULATIONS | PTO USE ONLY | | |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): | | | | | | | LOCATOR | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$970.00 | | | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$840.00 | | | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO\$760.00 | | | | | | | | | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670.00 | | | | | | | | • | | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00 | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | | 670.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \(\) 20 \(\) 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | 130.00 | | | |
| CLAIMS | NUMBER | | NUMBER EXTRA | RA' | | | | | | |
| Total Claims | 32 | -20 = | 12 | X | \$18.00 | \$ | 216.00 | <u> </u> | | |
| Independent Claims | 7 | -3 = | 4 | X | \$78.00 | | 312.00 | Ь— | | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) +\$260.00 | | | | | | \$ | 0.00 | ├ | | |
| TOTAL OF ABOVE CALCULATIONS = Reduction by ½ for filing by small entity, if applicable. A Small Entity Statement | | | | | | | 1328.00 | ऻ─ | | |
| must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | | | | | | 0.00 | | | |
| SUBTOTAL = | | | | | | \$ | 1328.00 | | | |
| Processing fee of \$130.00, for furnishing the English Translation later than ☐ 20 ☐ 30 | | | | | | | | | | |
| months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | 0.00 | <u> </u> | | |
| TOTAL NATIONAL FEE = | | | | | | \$ | 1328.00 | <u> </u> | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | 0.00 | 1 | | |
| Fee for Petition to Revive Unintentionally Abandoned Application (\$1,210 – Small Entity Fee = \$605) | | | | | | \$ | 0.00 | ├─ | | |
| TOTAL FEES ENCLOSED = | | | | | | \$ | 1328.00 | | | |
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| | | | | | | | refunded | | | |
| | | | | | | | charged | \$ | | |
| a. | | | | | | | | | | |
| NOTE: Where an appro 1.137(a) or (b)) must be | opriate time li e filed and gra | imit under anted to res | 37 CFR 1.494 or 1.495 ha store the application to p | s not been m ending statu | et, a petitio | n to | revive (37 CF | ₹R | | |
| SEND ALL CORRESPO | | | | \mathcal{A} | N | | | <i>1</i> N | C> | |
| SEND ALL CORRESPONDENCE TO: SIGNATURE | | | | | | | - 6 | La r | 7— | |
| NIXON & VANDERHYE | | | | / / | · — | | / | | , | |
| 1100 North Glebe Road, | | | | 1 / | | | | | | |
| Arlington, Virginia 22201 Telephone: (703) 816-40 | | | | Arthur D | Crawford | | | | | |
| , | · - - | | | NAME | 3.411.014 | | | | | |
| | | | | | | | | | | |
| 25,327 | | | | | | February 17, 2000 | | | | |
| • | | | | REGISTRA | ATION NUMBI | ER T | Date | | | _ |